



Annual Assurance Statement by the Committee of

Management of Oak Tree Housing Association Ltd – October 2021

In considering our compliance with regulatory and legal requirements for our 2021 Annual Assurance Statement, we have taken into account the continuing impact of the Covid19 pandemic.

Gaining the basis of Assurance

The evidence bank considered by the Committee of Management combines reports, policies, advice and information. The Committee monitors and oversees this on an ongoing basis throughout the year to provide continuous assurance that Oak Tree Housing Association (OTHA) is compliant with the relevant regulatory requirements, set out in the regulatory framework. The evidence bank incorporates relevant documents and information that contribute to our assurance and which form the structure of OTHA's business and governance activities.

The evidence which supports this Statement includes:

- Reports about performance in key areas including finance, service delivery, asset management, development and risk.
- Internal and External Audit reports.
- Advice from external specialist advisers.
- Tenant Scrutiny reports and the outcomes from consultations.
- Data analysis about our tenants and customers' needs and views.
- Benchmarking reports, advice and information from senior staff and external bodies.

In reviewing the evidence and assessing compliance, we take account of good practice advice. In considering our assurance OTHA continues to adopt an improvement focus. This includes an Action Plan being implemented, progressed and reviewed on an on-going basis.

We are assured that we are working towards having appropriate systems in place for the collection of equalities data and are further assured that we are working towards using this data to take account of equality and human rights issues in our decisions, policy-making and day-to-day service delivery.

Covid19 Implications

Covid19 continues to impact on our service delivery. There have been cases where gas servicing did not take place within the statutory 12-month period.

This has been due to tenant access restrictions, including fears about potential transmission of COVID19 within their household. We are kept apprised regularly on the position in respect of compliance with gas servicing requirements and as at 25th October 2021 (the date of signing this statement), all our properties have a valid gas safety certificate.

Statement of Assurance

Taking into account the above declaration regarding COVID19 implications, the Committee of Management is satisfied that, to the best of our knowledge OTHA is compliant with the requirements of Chapter Three of the Regulatory Framework and the Regulatory Standards of Governance and Financial Management.

Ongoing Monitoring

We understand that we are required to notify the Scottish Housing Regulator (SHR) of any changes in our compliance during the course of the year and are assured that we have effective arrangements in place to enable us to do so.

Authority to sign and submit

As Chairperson, I was authorised by the Committee of Management at its meeting held on 25th October 2021, to sign this Assurance Statement for submission to the SHR.

Signed *Vivienne Hearton*

Date 25th October 2021